



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of: **NAGAN, D. and DUNHAM, E., Jr.**

Serial No: **10/035,890**

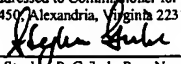
Examiner: **Wasylichak, S.**

Filing Date: **December 31, 2001**

Group Art Unit: **3624**

For: **PROGRAMMED ASSESSMENT OF TECHNOLOGICAL,
LEGAL AND MANAGEMENT RISKS**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

<p align="center">CERTIFICATE OF MAILING, 37 C.F.R. §1.8(a)</p> <p>I certify that this correspondence and the enclosures mentioned therein are being deposited by First Class U.S. Mail with sufficient postage on the date shown below, addressed to Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.</p> <p align="center"> Stephen P. Gribok, Reg. No. 29,643 6-20-05 Date</p>

**INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER**

Sir:

Enclosed herewith is a Supplemental Information Disclosure Statement pursuant to 37 CFR. §1.56 in connection with the above-identified application, which statement is being filed:

- ☐ Together with the present application.
- ☐ Before the first Office Action on the merits or three (3) months from the filing date of this application, whichever occurs last. **[37 CFR § 1.97(b)]**
- ☒ After the first Office Action on the merits, but before a Final Office Action under §1.113 or Notice of Allowance under §1.311, whichever occurs first. **[37 CFR §1.97(c)]**. *(Either the fee or certification is provided, as set forth below).*
- ☐ After a Final Office Action under §1.113 or Notice of Allowance under §1.311, but prior to or with payment of the Issue Fee. **[37 CFR §1.97(d)]**. *The fee and certification are provided, as set forth below).*

Consistent with Applicant's obligations pursuant to 37 CFR §§1.97 and 1.98, the following requirements have been met:

- ☐ No separate requirements are needed.
- ☐ No additional fee is required.

06/24/2005 RFEKADU1 00000027 041679 10035890

02 FC:1806

180.00 DA

Fee Under 37 CFR § 1.97(c) or 1.97(d)

☒ The fee of \$180.00 for submission of an IDS under §1.97(c) as set forth in §1.17(p) accompanies this statement.

Certification Under 37 CFR § 1.97(e)

The undersigned certifies:

☐ that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

Provision of Copies of References

☐ A copy of the foreign references listed on the attached Form PTO/SB/08a is enclosed herewith and forms a part hereof.

☒ Pursuant to the order of the Deputy Commissioner dated July 11, 2003, copies of the U.S. references are not enclosed.

☐ A translation of Reference _____ is enclosed herewith and forms a part hereof.

☐ A copy of the European Office Action from the corresponding or related European application is enclosed herewith.

☐ A copy of the International Search Reports from corresponding or related PCT applications is enclosed herewith.

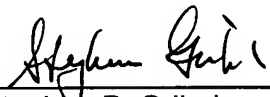
Identification of Prior Application(s) In Which Listed Information Was Already Cited And For Which No Copies Are Submitted Or Need Be Submitted

☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior U.S. Application No(s) _____, filed _____. The following references were submitted to, and/or cited by, the Office in the prior application(s) and therefore are not required to be provided in this application:

[X] The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to Deposit Account No. 04-1679.

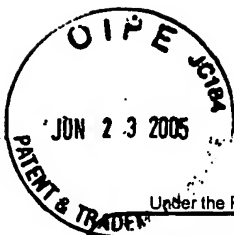
Respectfully submitted,

Date: June 20, 2005



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Docket No.: Dunham



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/035.890
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Filing Date	December 31, 2001
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First Named Inventor	Douglas A. Nagan
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Art Unit	3624
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Examiner Name	Steven R. Wasylchak
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Attorney Docket Number	Dunham
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Sheet	1	of	1
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U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.